

COPY OF PAPERS
ORIGINALLY FILED

PTO/SB/21 (modified)
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	10/046,496
			Filing Date	October 29, 2001 (the USPTO erroneously stated it to be November 29, 2001)
			First Named Inventor	Carey Nachenberg
			Group Art Unit Number	2153
			Examiner Name	not yet known
Total Number of Pages in This Submission	7	Attorney Docket Number	20423-05957	

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawings: [] Sheets of Figures
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References	<input checked="" type="checkbox"/> Copy of Filing Receipt
<input checked="" type="checkbox"/> Request for Corrected Filing Receipt	<input checked="" type="checkbox"/> Copy of New Utility Patent Application Transmittal
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input checked="" type="checkbox"/> Copy of Express Mail receipt
<input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final	<input type="checkbox"/>
<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/>

REMARKS

SIGNATURE OF ATTORNEY OR AGENT

Signature:		
Attorney/Reg. No.:	Edward J. Radlo / Reg. No. 26,793	Dated: March 11, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:		
Typed or Printed Name:	Edward J. Radlo	Dated: March 11, 2002
Express Mail Mailing Number (optional):		

RECEIVED

MAR 26 2002

OFFICE OF PETITIONS

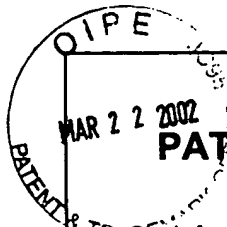
Please type a plus sign (+) inside this box →



PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



NEW UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under
37 CFR 1.53(b))

Attorney Docket Number 20423-05957

First Named Inventor Carey Nachenberg

Title Temporal Access Control For
Computer Virus Outbreaks

Express Mail Label No. EL566298875US

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (in duplicate)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27
3. ☒ Specification Total Pages
(preferred arrangement set forth below)
 - ☒ Descriptive Title of the Invention
 - ☒ Cross Reference(s) to Related Case(s)
 - ☒ Statement Regarding Fed sponsored R & D
 - ☒ Background of the Invention
 - ☒ Brief Summary of the Invention
 - ☒ Brief Description of the Drawing(s)
 - ☒ Detailed Description
 - ☒ Claim or Claims
 - ☒ Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets
5. Oath or Declaration
 - a. ☒ Combined Declaration & Power of Attorney Total Pages
 - ☒ Executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ Certified Copy of Priority Document(s) (if foreign priority
is claimed)
9. ☐ Power of Attorney or Authorization of Agent
10. ☐ 37 CFR 3.73(b) Statement
11. ☐ Preliminary Amendment
12. ☐ Information Disclosure Statement & PTO-1449
☐ Copies of IDS Citation(s)
13. ☒ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form
PTO/SB/35 or its equivalent
14. ☒ Return Postcard
15. ☒ Limited Recognition Under 37 CFR §10.9(b)
16. ☐
17. ☐

RECEIVED

MAR 26 2002

OFFICE OF PETITIONS

ADDRESS TO:

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

Prior application information: Examiner: Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



☒ Customer Number and Bar Code
Label

00758

Name (Print/Type)	Jing He	Registration No. (Attorney/Agent)	Rule 10.9(b)
Signature		Date	October 29, 2001

EXHIBIT A



COPY OF PAPERS
ORIGINALLY FILED

**POST OFFICE
TO ADDRESSEE**



EL566298875US

SEE REVERSE SIDE FOR
SERVICE GUARANTEE AND LIMITS
ON INSURANCE COVERAGE



ORIGIN (POSTAL USE ONLY)		
PO ZIP Code 941128	Day of Delivery <input type="checkbox"/> Next <input checked="" type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
Date In 10 29 01 Mo. Day Year	<input checked="" type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Postage \$ 16.25
Time In <input type="checkbox"/> AM <input checked="" type="checkbox"/> PM 2009	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee
Weight lbs. 13 ozs.	Int'l Alpha Country Code	COD Fee Insurance Fee
No. Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials MC	Total Postage & Fees \$ 16.25

CUSTOMER USE ONLY	
METHOD OF PAYMENT: Express Mail Corporate Acct. No. A940154	
<input type="checkbox"/> WAIVER OF SIGNATURE (Domestic Only): Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.	
Federal Agency Acct. No. or Postal Service Acct. No.	NO DELIVERY <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday

FROM: (PLEASE PRINT) PHONE (650) 494-0600

FENWICK & WEST LLP
2 PALO ALTO SQ STE 800
PALO ALTO CA 94306-2105
20423-05957
JH:lm 10-29-01

TO: (PLEASE PRINT) PHONE ()

BOX PATENT APPLICATION
COMMISSIONER FOR PATENTS XX
~~XXXXXX~~
WASHINGTON DC 20231-0001

PRESS HARD. You are making 3 copies. FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.gov

RECEIVED
MAR 26 2007
OFFICE OF PETITIONS

EXHIBIT B



COPY OF PAPERS
ORIGINALLY FILED

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANTS: Carey Nachenberg and Everett Lai
APPLICATION NO.: 10/046,496
FILING DATE: October 29, 2001 (the USPTO erroneously stated it to be November 29, 2001)
TITLE: Temporal Access Control for Computer Virus Outbreaks
EXAMINER: not yet known
GROUP ART UNIT: 2153
ATTY. DKT. NO.: 20423-05957

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231, on the date shown below:

Dated: March 11, 2002

By: Edward J. Radlo

Edward J. Radlo, Reg. No.: 26,793

COMMISSIONER FOR PATENTS
OFFICE OF INITIAL PATENT EXAMINATION
CUSTOMER SERVICE CENTER
WASHINGTON, DC. 20231

RECEIVED
MAR 26 2002
OFFICE OF PETITIONS

REQUEST FOR CORRECTED FILING RECEIPT

SIR:

Enclosed is a copy of the Official Filing Receipt mailed by the USPTO on February 28, 2002. It contains the following two errors:

1. The filing date is erroneously stated as November 29, 2001. The correct filing date is October 29, 2001, as evidenced by the a) New Utility Patent Application Transmittal; and b) receipt issued by the United States Postal Service indicating that Applicants' representative did in fact deposit the package containing the application with the United States

Postal Service on October 29, 2001. True copies of each of these documents marked, respectively, Exhibit A and Exhibit B, are enclosed.

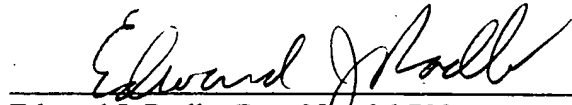
2. The number of drawings is erroneously stated as 5. The correct number of drawings submitted is 8, as evidenced by the New Utility Patent Application Transmittal, a copy of which is enclosed.

Please issue a corrected Filing Receipt rectifying these two errors that were made by the USPTO.

Respectfully submitted,

date of signature:

March 11, 2002



Edward J. Radlo, Reg. No.: 26,793
Attorney of Record

Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (650) 858-7142
Fax.: (650) 494-1417

encl.

cc: C. Nachenberg (w/encl.)
M. Noto "



MAR 22 2002

COPY OF PAPERS
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
--------------------	-------------	--------------	---------------	----------------	----------	------------	------------

10/046,496

11/29/2001

2153

1478

20423-
05957

5

33

9

CONFIRMATION NO. 3384

00758

FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306

FILING RECEIPT



OC000000007554709

Date Mailed: 02/28/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Carey Nachenberg, Residence Not Provided;
Everett Lai, Monterey Park, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/282,203 04/06/2001

Foreign Applications

If Required, Foreign Filing License Granted 02/28/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Temporal access control for computer virus outbreaks

Preliminary Class

709

RECEIVED
MAR 26 2002
OFFICE OF PETITIONS

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

RECEIVED

MAR 26 2002

OFFICE OF PETITIONS